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TO:	FROM:
Ms. Samica L. Norman, Examiner	Bruce Thomas
COMPANY:	DATE:
U.S. Patent Office	8/6/2007
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PHONE NUMBER:	SENDER'S PHONE NUMBER:
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RE:	SENDER'S FAX NUMBER:
Preparation for Next Phone Conference	
Appn. Number: 10/757,933	
Appn. Filed: 01/15/2004	
Applicants: Bruce Bradford Thomas	
Title: Model Options	
GAU: 3628	
Examiner: Samica L. Norman	

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

Samica,

I have reviewed and revised my claims once again and thought that I should send them to you in the hope that our next phone conference will be more productive. They are as follows.

Claims:

Please cancel all of the claims of record and substitute new claims 29 through 43 as follows:

16 - 28 (cancelled)

29. (new) A method for constructing a contract, comprising the steps of:
- a. specifying an option by describing the underlying asset, the strike price, the expiration date, the ability to exercise the option, and any other characteristic of said option;
 - b. specifying a methodology that uses an option pricing model that will be used to value said option; and
 - c. incorporating said option and said methodology in said contract.
30. (new) The method of claim 29 where said underlying asset is a type of physical property.
31. (new) The method of claim 29 where said underlying asset is a type of debt obligation.
32. (new) The method of claim 29 where said underlying asset is a type of equity security.
33. (new) The method of claim 29 where said underlying asset is a type of derivative contract or index.
34. (new) A method for constructing a contract that is used to compensate a company's managers and other employees, comprising the steps of:
- a. specifying an option by describing the underlying asset, the strike price, the expiration date, the ability to exercise the option, and any other characteristic of said option;

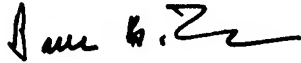
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- b. specifying a methodology that uses an option pricing model that will be used to value said option; and
 - c. incorporating said option and said methodology in said contract.
35. (new) The method of claim 34 where said underlying asset is a type of physical property.
36. (new) The method of claim 34 where said underlying asset is a type of debt obligation.
37. (new) The method of claim 34 where said underlying asset is a type of equity security.
38. (new) The method of claim 34 where said underlying asset is a type of derivative contract or index.
39. (new) A method for constructing a contract that will be listed on an exchange, comprising the steps:
- a. specifying an option by describing the underlying asset, the strike price, the expiration date, the ability to exercise the option, and any other characteristic of said option;
 - b. specifying a methodology that uses an option pricing model that will be used to value said option; and
 - c. incorporating said option and said methodology in said contract.
40. (new) The method of claim 39 where said underlying asset is a type of physical property.

41. (new) The method of claim 39 where said underlying asset is a type of debt obligation.

Thanks for your help.

Sincerely,

A handwritten signature in black ink, appearing to read "Bruce B. Thomas". The signature is stylized with a large initial "B" and a long, sweeping horizontal stroke at the end.

Bruce B. Thomas